

**VIRGINIA DEPARTMENT OF SOCIAL SERVICES
SDM® FAMILY RISK ASSESSMENT**

r: 8/20

Case Name: _____ **Case ID:** _____ **Locality:** _____

FSS Name: _____ **Supervisor:** _____

Assessment Date: _____ **Creation Date:** _____

Primary Caretaker: _____ **Secondary Caretaker:** _____

Prior Assessments: _____ **Prior Investigations:** _____

	NEGLECT	Score		ABUSE	Score
N1.	Current complaint is for neglect <input type="radio"/> a. No..... 0 <input type="radio"/> b. Yes 2	_____	A1.	Current complaint is for abuse <input type="radio"/> a. No.....0 <input type="radio"/> b. Yes1	_____
N2.	Number of prior <u>neglect</u> Investigations/Assessments <input type="radio"/> a. None 0 <input type="radio"/> b. One or two 1 <input type="radio"/> c. Three or more..... 2	_____	A2.	Number of prior <u>abuse</u> Investigations/Assessments <input type="radio"/> a. None.....0 <input type="radio"/> b. One.....1 <input type="radio"/> c. Two or more2	_____
N3.	Number of prior <u>abuse</u> Investigations/Assessments <input type="radio"/> a. None or one.....0 <input type="radio"/> b. Two or more 1	_____	A3.	Number of prior <u>neglect</u> Investigations/Assessments <input type="radio"/> a. None0 <input type="radio"/> b. One or more1	_____
N4.	Household has previously received ongoing services or foster care as a result of CA/N (voluntary/court-ordered) <input type="radio"/> a. No..... 0 <input type="radio"/> b. Yes 2	_____	A4.	Household has previously received ongoing services or foster care as a result of CA/N (voluntary/court-ordered) <input type="radio"/> a. No.....0 <input type="radio"/> b. Yes2	_____
N5.	Number of alleged victims involved in the <input type="radio"/> a. One or two.....0 <input type="radio"/> b. Three or more2	_____	A5.	Prior injury to a child resulting from CA/N <input type="radio"/> a. No.....0 <input type="radio"/> b. Yes1	_____
N6.	Age of youngest child in the home <input type="radio"/> a. 2 or older.....0 <input type="radio"/> b. Under 21	_____	A6.	Number of alleged victims involved in the <input type="radio"/> a. One, two, or three.....0 <input type="radio"/> b. Four or more.....2	_____
N7.	Primary caretaker has a history of abuse or neglect as a child <input type="radio"/> a. No.....0 <input type="radio"/> b. Yes1	_____	A7.	Primary caretaker blames child for incident <input type="radio"/> a. No.....0 <input type="radio"/> b. Yes1	_____

NEGLECT	Score	ABUSE	Score
N8. Primary caretaker has/had a drug or alcohol problem <input type="radio"/> a. None/not applicable.....0 <input type="radio"/> b. One or more apply.....2 (check all that apply) <input type="checkbox"/> Alcohol <input type="checkbox"/> During the last 12 months AND/OR <input type="checkbox"/> At any other time prior to that <input type="checkbox"/> Drug <input type="checkbox"/> During the last 12 months AND/OR <input type="checkbox"/> At any other time prior to that	_____	A8. Two or more incidents of domestic violence in the household in the past year <input type="radio"/> a. No.....0 <input type="radio"/> b. Yes1	_____
N9. Primary caretaker has criminal arrest history as adult or juvenile <input type="radio"/> a. No.....0 <input type="radio"/> b. Yes1	_____	A9. Primary caretaker has a history of abuse or neglect as a child <input type="radio"/> a. No.....0 <input type="radio"/> b. Yes1	_____
N10. Characteristics of children in household <input type="radio"/> a. Not applicable.....0 <input type="radio"/> b. One or more apply (select all that apply and add for score) <input type="checkbox"/> Developmental or physical disability.....1 <input type="checkbox"/> Medically fragile/failure to thrive1	_____	A10. Characteristics of children in household <input type="radio"/> a. Not applicable.....0 <input type="radio"/> b. One or more apply (select applicable items and add for score) <input type="checkbox"/> Delinquency history.....1 <input type="checkbox"/> Mental health/behavioral problem.....1	_____
TOTAL NEGLECT RISK SCORE	=====	TOTAL ABUSE RISK SCORE	=====

SCORED RISK LEVEL

Assign the family's scored risk level based on the highest score on either the neglect or abuse instrument, using the following chart. The family's scored risk level is based on the highest score on either the neglect or abuse instrument according to the following chart.

Neglect Score	Abuse Score	Scored Risk Level
<input type="radio"/> 0-1	<input type="radio"/> 0-2	<input type="radio"/> Low
<input type="radio"/> 2-4	<input type="radio"/> 3-5	<input type="radio"/> Moderate
<input type="radio"/> 5-7	<input type="radio"/> 6-8	<input type="radio"/> High
<input type="radio"/> 8+	<input type="radio"/> 9+	<input type="radio"/> Very High

POLICY OVERRIDES

Select "Yes" if a condition shown below is applicable in this case. If *any* condition is applicable, override final risk level to *very high*.

- Yes No 1. Sexual abuse case AND the alleged abuser/neglector is likely to have access to the child victim
- Yes No 2. Non-accidental injury to a child under age 3
- Yes No 3. Severe non-accidental injury
- Yes No 4. Caretaker action or inaction resulted in death of a child due to abuse or neglect (previous or current)

DISCRETIONARY OVERRIDE

If a discretionary override is made, select "Yes," select override risk level, and indicate reason. Risk level may be overridden one level higher.

- Yes No 5. If "Yes," override risk level (select one): Moderate High Very High

Discretionary override reason:

Supervisor's review/approval of discretionary override: _____ Date: _____

FINAL RISK LEVEL (select final level assigned): Low Moderate High Very High

ACTION

Enter the action taken (opened as a case or not opened as a case). If the recommended action differs from the action taken, provide an explanation.

- Open
 - New
 - Continuing services offered
 - Risk low or moderate and no identified safety factors, but case was opened (provide explanation below)
- Did not open
 - Risk was low or moderate, and no identified safety factors were present
 - Risk was high or very high, but case was not opened (provide explanation below)

If the recommended action and action taken do not match, explain why:

Date of Supervisory Approval: _____

Supervisor Signature: _____

VIRGINIA DEPARTMENT OF SOCIAL SERVICES
SDM® FAMILY RISK ASSESSMENT
DEFINITIONS

The risk assessment is composed of two indices, the neglect index and the abuse index. Only one household can be assessed on a risk assessment. If two households are involved in the alleged incident(s), separate risk assessments should be completed for each household.

The household includes all persons who have significant in-home contact with the child, including those who have a familial or intimate relationship with any person in the home.

The primary caretaker is the adult living in the household where the allegation occurs who assumes the most responsibility for childcare. When two adult caretakers are present and the Family Services Specialist is in doubt as to which one assumes the most childcare responsibility, the adult with legal responsibility for the child involved in the incident should be selected as the primary caretaker. For example, when a mother and her boyfriend reside in the same household and appear to equally share caretaking responsibilities for the child, the mother is selected. If this does not resolve the question, the legally responsible adult who was an alleged abuser/neglector should be selected. For example, when a mother and a father reside in the same household and appear to share caretaking responsibilities for the child equally and the mother is the alleged abuser/neglector, the mother is selected. In circumstances where both parents are in the household, equally sharing caretaking responsibilities, and both have been identified as alleged abuser/neglectors, the parent demonstrating the more severe behavior is selected. Only one primary caretaker can be identified.

The secondary caretaker is defined as an adult living in the household who has routine responsibility for childcare, but less responsibility than the primary caretaker. A partner may be a secondary caretaker even though that person has minimal responsibility for care of the child.

NEGLECT

N1. Current complaint is for neglect

Score if the current complaint is for any type of neglect. Neglect occurs when a caretaker or other person responsible for child's care neglects or refuses to provide care necessary for child's health; when a child is without parental care or guardianship, caused by the unreasonable absence or the mental or physical incapacity of the child's parent, guardian, legal custodian, or other person standing in loco parentis; when caretakers or other persons responsible for child's care abandon such child. Current complaints for substance-exposed infants should be included in the scoring of this item.

This includes referred allegations as well as allegations made during the course of the Investigation/Family Assessment.

N2. Number of prior neglect Investigations/Assessments

Note: When counting prior Investigations/Assessments, it does not matter whether the prior Investigations were founded or not, or whether the prior Assessments resulted in a determination that services were needed. However, do not count screened-out referrals.

- a. Score 0 if there were no Investigations/Assessments *prior* to the current Investigation/Family Assessment.
- b. Score 1 if there were one or two Investigations/Assessments for any type of *neglect* prior to the current Investigation/Family Assessment.
- c. Score 2 if there were three or more Investigations/Assessments for any type of *neglect* (alone or in combination with an abuse Investigation/Family Assessment) prior to the current Investigation/Family Assessment.

Where possible, history from other county or state jurisdictions should be selected. Exclude Investigations/Assessments of out-of-home alleged abuser/neglectors (e.g., daycare) unless one or more caretakers failed to protect.

N3. Number of prior abuse Investigations/Assessments

Note: When counting prior Investigations/Assessments, it does not matter whether the prior Investigations were founded or not, or whether the prior Assessments resulted in a determination that services were needed. However, do not count screened-out referrals.

- a. Score 0 if there were zero or one Investigations/Assessments for any type of abuse *prior* to the current Investigation/Family Assessment.
- b. Score 1 if there were two or more Investigations/Assessments for any type of *abuse* prior to the current Investigation/Family Assessment.

Where possible, history from other county or state jurisdictions should be included. Exclude Investigations/Assessments of out-of-home alleged abuser/neglectors (e.g., daycare) unless one or more caretakers failed to protect.

N4. Household has previously received ongoing services or foster care as a result of CA/N (voluntary/court-ordered)

Score 2 if household has previously *received* CPS ongoing or foster care services or is *currently receiving* services as a result of child abuse or neglect. Case may have opened as a result of a referral or court order. Service history includes voluntary or court-ordered family services, but does not include prevention services, delinquency, or CHINS.

N5. Number of alleged victims involved in the CA/N incident

Enter the total number of alleged victims involved in the CA/N incident who live in this home.

N6. Age of youngest child in the home

Score the appropriate amount given the current age of the *youngest child* presently in the household where the CA/N incident reportedly occurred. If a child is removed as a result of the current Investigation/Family Assessment, count the child as residing in the home.

N7. Primary caretaker has a history of abuse or neglect as a child

Score 1 if credible statements by the primary caretaker or others, or state records of past allegations, indicate that the primary caretaker was maltreated as a child (maltreatment includes neglect or abuse of a physical, sexual, or other nature).

N8. Primary caretaker has/had a drug or alcohol problem

- a. Score 0 if the primary caretaker does not have and has never had a drug or alcohol problem.
- b. Score 2 if the primary caretaker has a past or current alcohol/drug abuse problem that interferes with caretaker's or family's functioning. Evidence of such interference includes but is not limited to the following.
 - Substance use that affects or has affected the following:
 - » Employment;
 - » Criminal involvement;
 - » Marital or family relationships; or
 - » Ability to provide protection, supervision, and care for the child.
 - An arrest in the past two years for driving under the influence or refusing breathalyzer testing.
 - Self-report of a problem.
 - Treatment received currently or in the past.
 - Multiple positive urine samples.
 - Health/medical problems resulting from substance use.

- Child was diagnosed with fetal alcohol spectrum or exposure (FAS or FAE), or child had a positive toxicology screen at birth AND primary caretaker was birthing parent.

Legal, non-abusive prescription drug use should not be scored.

Indicate whether the drug or alcohol problem was/has been present *during* the past 12 months AND/OR was present at any time prior to 12 months.

N9. Primary caretaker has criminal arrest history as adult or juvenile

Indicate whether the primary caretaker has been arrested or convicted prior to the current complaint as either an adult or a juvenile. This includes DUI but excludes all other traffic offenses. Information may be located in the case narrative material, reports from other agencies, etc. Also, review any police reports in the file for this information.

N10. Characteristics of children in household

- a. Score 0 if no child in the household exhibits characteristics listed below.
- b. Score 1 if any child in the household is/has any or all of the following.
 - Developmental or physical disability, including a formal diagnosis of any of the following: intellectual disability, learning disability (as indicated by school records), other developmental problem, or significant physical handicap.
 - Medically fragile, defined as having a long-term (six months or more) physical condition requiring medical intervention or diagnosed as failure to thrive.

ABUSE

A1. Current complaint is for abuse

Score if the current complaint is for abuse. This includes referred allegations as well as allegations made during the course of the Investigation/Family Assessment.

A substance-exposed infant should be accounted for under item N1, "Current complaint is for neglect."

A2. Number of prior abuse Investigations/Assessments

Score the appropriate amount given the count of all Investigations/Assessments, founded or not, for any type of abuse (physical, mental, or sexual abuse/sexual exploitation) *prior* to the complaint resulting in the current Investigation/Family Assessment. Where possible, abuse history from other county or state jurisdictions should be included. Exclude screened-out referrals and Investigations/Assessments of out-of-home alleged abuser/neglectors (e.g., daycare) unless one or more caretakers failed to protect.

A3. Number of prior neglect Investigations/Assessments

Note: When counting prior Investigations/Assessments, it does not matter whether the prior Investigations were founded or not, or whether the prior Assessments resulted in a determination that services were needed. However, do not count screened-out referrals.

- a. Score 0 if there were no Investigations/Assessments for any type of neglect *prior* to the current Investigation/Family Assessment.
 - b. Score 1 if there were one or more Investigations/Assessments for any type of neglect prior to the current Investigation/Family Assessment.

A4. Household has previously received ongoing services or foster care as a result of CA/N (voluntary/court-ordered)

Score 2 if household has previously *received* child welfare services or is currently receiving services as a result of child abuse or neglect. Case may have opened as a result of a referral or court order. Service history includes voluntary or court-ordered family services but does not include delinquency or CHINS.

A5. Prior injury to a child resulting from CA/N

Score 1 if prior to the current Investigation/Family Assessment, a child sustained an injury from abuse and/or neglect. Injury sustained as a result of abuse or neglect may range from bruises, cuts, and welts to an injury that requires medical treatment or hospitalization, such as a bone fracture or burn.

A6. Number of alleged victims involved in the CA/N incident

Enter the total number of alleged victims involved in the CA/N incident who live in this home.

A7. Primary caretaker blames child for incident

Score 1 if the primary caretaker blames child for incident. Blaming refers to caretaker's statement that maltreatment incident occurred because of child's action or inaction (for example, claiming that the child seduced them, or child deserved beating because they misbehaved).

A8. Two or more incidents of domestic violence in the household in the past year

Score 1 if in the previous year there have been two or more physical assaults or acts of intimidation/threats/harassment between caretakers or between a caretaker and another adult in the home.

A9. Primary caretaker has a history of abuse or neglect as a child

Score 1 if credible statements by the primary caretaker or others indicate that the primary caretaker was maltreated as a child (maltreatment includes neglect or physical, sexual, or other abuse).

A10. Characteristics of children in household

a. Score 0 if no child in the household exhibits characteristics listed below.

b. Score 1 for each if any child in the household:

- Has been referred to juvenile court for delinquent or status offense behavior. Status offenses not brought to court attention but that create stress within the household should also be scored, such as children who run away or are habitually truant.
- Has mental health or behavioral problems not related to a physical or developmental disability (includes ADHD/ADD). This could be indicated by the following:
 - » A DSM diagnosis;
 - » Receiving mental health treatment;
 - » Attendance in a special classroom because of behavioral problems; or
 - » Currently taking psychotropic medication.